

## Contact

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## Top Skills

Equities  
Financial Markets  
Emerging Markets

## Languages

French  
English  
Hindi

# Sonia Gandhi, CFA

Director - Ethics Education & Professional Standards at CFA Institute  
London, Greater London, United Kingdom

## Summary

Sonia Gandhi, CFA is Director-Ethics Education & Professional Standards at CFA Institute. In her role, she leads the effort to develop, promote and deliver educational content for CFA Institute members and investment professionals in the area of ethics.

Sonia has spent over 20 years in the Investment Management industry working with several global blue chip Investment Banks. As Director, Equities she was responsible for Deutsche Bank's APAC client base. Prior to that she worked with JP Morgan, BNP Paribas and Jardine Fleming - responsible for equity sales, capital market transactions and global account management. In her current role, Sonia is the key ethics resource for over 40 member societies in EMEA region.

Sonia has served in various capacities as a volunteer with CFA Society India, most recently as a Director on the board. She is extremely passionate about ethics education and has conducted workshops for investment banks, asset managers, professional bodies as well as finance students at numerous management institutes and universities across the globe.

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## Experience

### CFA Institute

Director - Ethics Education & Professional Standards  
October 2018 - Present  
London, United Kingdom

### Indian Association of Investment Professionals (IAIP) - CFA Society India

Director  
September 2016 - September 2018 (2 years 1 month)  
India

First woman director on the board of CFA Society India. Responsible for Continuing Education activities across eight chapters of the India Society.

#### SEV Advisors

Founder

2015 - 2018 (4 years)

India

Focussed on providing Financial literacy, education, and training.

#### Indian Association of Investment Professionals (IAIP) - India CFA Society

Chair- Membership Committee

August 2013 - September 2016 (3 years 2 months)

India

Responsible for enhanced member engagement and delivery of member value.

#### Deutsche Bank

Director

2009 - 2013 (5 years)

Mumbai

Responsible for key Institutional clients of the firm across APAC

Spearheaded placements of capital market mandates , QIPs, IPOs, and block trades.

Global account manager for several key accounts.

#### J.P. Morgan

Vice President

2007 - 2009 (3 years)

India

Leveraging on past industry experience and expertise , rebuilt firm's relationships and rankings with several large accounts after a slew of departures from the equities desk. Key account management responsibilities for several APAC and UK clients.

#### Metanoia Consulting

Associate Director

2003 - 2006 (4 years)

Built key relationships with an array of small to medium sized companies, helped them develop business plans and investment appraisals for securing funding, business scale up and performance turn around.

#### Jardine Fleming India

Assistant Director

1994 - 2001 (8 years)

Instrumental in setting up the equity sales desk. Covered institutional clients across geographies and helped raise the visibility of the firm's research product.

#### DSP Merrill Lynch Limited

Manager - Money Markets

1992 - 1994 (3 years)

Dealt in various fixed income instruments -Inter Corporate deposits, treasury bills, government bonds, commercial paper, certificates of deposit .

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## Education

CFA Institute, USA

CFA Charter

Delhi University

Master of Business Administration (M.B.A.), Finance · (1990 - 1992)

Lady Shri Ram College, Delhi

Bachelor of Arts (BA), Economics · (1987 - 1990)

Model school, Kanpur